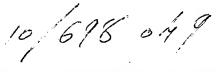
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Spinal Concepts, Inc.

Applicants: (See Attachment A)

Patent No.: (See Attachment A)

Issue Date: (See Attachment A)

Serial No.: (See Attachment A)

Filed: (See Attachment A)

For: (See Attachment A)

Attorney Docket No.: (See Attachment A)

Certificate of Mailing under 37 CFR §1.8(a):

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail

addressed to as follows:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: August 19, 2004.

Kimberly A. Jorio

Date

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Enclosed herewith for the patent application identified in Attachment A, attached herewith, are the following:

- 1. Power of Attorney and Change of Correspondence Address Indication Form with Attachment A listing each Application Patent No. (6 Pages);
- 2. Statement Under 37 CFR 3.73(b) with Attachment A listing each Application, Patent, Reel and Frame No. (6 Pages);
- 3. Notification of Loss of Entity with Attachment A listing each Application/Patent No. (6 Pages); and
- 4. Return Receipt Postcard.

The Commissioner is herby authorized to charge any additional Filing Fees required under 37 CFR §1.16, as well as any patent application processing fees under 37 CFR §1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025.

Respectfully submitted, Spinal Concepts, Inc.

ABBOTT LABORATORIES

Customer No.: 23492 Telephone: (847) 938-7576 Facsimile: (847) 938-2623

Registration No. 39,869 Attorney for Applicants

Beth A. Vrioni

PTO/SB/96 (06-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Spinal Concepts, Inc. Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment A Entitled: See Attachment A Spinal Concepts, Inc. , a corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. It the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. [r] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. (See Attachment A) OR B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown 1. From: -The document was recorded in the United States Patent and Trademark Office at Reel_____, Frame ____, or for which a copy thereof is attached. 2. From: The document was recorded in the United States Patent and Trademark Office at _____, Frame _____, or for which a copy thereof is attached. 3. From: To: The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. [] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Jeffrey R. Binder Typed or printed name (512) 918-2700 Telephone number Signature

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Vice President, Spinal Concepts, Inc.
Title

A SATURATION OF THE PARTY OF TH								
						一年 一	First Named	Assignment RecUrrame No. 8
Application No.	10/47/2000	Status	Ssue Date	Ratentino:	Attorney Docket:No:	THOUSE STREET, STREET, SOLD STREET,	Inventor	Recordation Date
03/03/12/3	0002// 1/01	Granted	5/13/2003	6562040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
								008359/0658 Recorded 1/21/97;
09/434324	11/4/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	UU88UZ/U19Z Kecorded 12/31/97; 014210/0603 Recorded 6/26/03
08/740123	10/24/1996	Granted	7/9/2002	6416515	5259-00100USO1	Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
08/942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008802/0192 Recorded 12/31/97
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	014210/0603 Recorded 6/26/03
09/605200	6/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Houchschuler	009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	6045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070116	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USP2	Surgical Cable System and Method	Erik J. Wagner	010396/0094 Recorded 11/22/99

							First Named	Assignment(Reel/Frame No. &
Application No.	Filing Date	Status Fu	Issue Date	Patent No.	Attorney Docket No.	निर्मािकः	Inventor	Recordation Dates
						System and Method for		
10/036012	12/26/2001	Filed			5259-00600USC1	with a Bone Plate	Erik J. Wagner	Erik J. Wagner 009450/0947 Recorded 9/11/98
						System and Method for		
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600HSP1	Stabilizing the Human Spine	10000 I	00,44,01,01,000,034,000
					100000000000000000000000000000000000000	System and Mathod for	Linas. Vagilei	coatsoloati vecolueu al 1780
						Stabilizing the Human Spine		
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	with a Bone Plate	Erik J. Wagner	009450/0959 Recorded 9/11/98
700000	10020			1		Pedicle Probe and Depth	Richard D.	
107080/00	/661/91//	Granted	//2//1999	5928243	5259-00700USO1	Gage	Guyer	008932/0159 Recorded 1/30/98
						Occipito-Cervical		
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-0120011501	Stabilization System and	John K.	0096667/0352 Recorded
					100000000000000000000000000000000000000		וחווחבוו	12/23/30
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
						Side Mounted Polyaxial	Joseph P.	
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Pedicle Screw	Errico	009275/0021 Recorded 6/30/98
08/772407	40/00/4006		77.000			Side Mounted Polyaxial	Joseph P.	
00/1/2401	12/23/1990	Granted	8661///	5776135	5259-02100USO1	Pedicle Screw	Errico	009275/0021 Recorded 6/30/98
				g + √w		Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod	d daesol.	
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Apparatus	Errico	009275/0021 Recorded 6/30/98
						Sliding Shaft Variable		
						Length Cross-Link Device for Use with Dual Rod	Joseph P.	
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Apparatus	Errico	009275/0021 Recorded 6/30/98
						Polyaxial Pedicle Screw		
, 000						Having a Through Bar	Joseph P.	
09/07/0234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Clamp Locking Mechanism	Errico	009275/0021 Recorded 6/30/98
						Polyaxial Pedicle Screw		
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300HSD1	Having a Through Bar	Joseph P.	00/06/3 F0F7000 F000/37000
					0200-0400000-		בווכס	009275/0021 Recorded 6/30/98

Application No Filing Date: Status, 47 Issue Date: Patenti No	Filing Date.	Status, 48	Issue Date	1	Attorney Docket No.	Under	Filzes Named	Assignment Resultano No. $oldsymbol{arGamma}$ Recorded on $oldsymbol{arGamma}$
08/856773	5/15/1997	Granted	7/28/1998	5785711	5259-02300USO1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism		009275/0021 Recorded 6/30/98
08/880810	6/23/1997	Granted	9/22/1998	5810819	5259-02300USP1	1	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070268	4/30/1998	Granted	12/7/1999	5997539	5259-02300USP2	dicle Screw ompression d Gripping	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/622891	3/29/1996	Granted	8/5/1997	5653763	5259-02500USO1	Intervertebral Space Shape Conforming Cage Device	Joseph P. Errico	009490/0692 Recorded 10/15/98
08/567144	12/4/1995	Granted	9/16/1997	5667507	5259-02600USQ1	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	James P. Errico	James P. Errico 9490/0692 Recorded 10/5/98
08/632561	4/15/1996	Granted	1/20/1998	5709684	5259-02600USP1	Advanced Compression Locking Variable Length Cross-Link Device	Joseph P. Errico	9490/0692 Recorded 10/5/98
09/229297	1/13/1999	Granted	9/26/2000	6123707	5259-03000USO1	Reduction Instrument	Erik J. Wagner	009840/0875 Recorded 3/2/99
09/298269	4/23/1999	Granted	9/3/2002	6442814	5259-03100USO1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010085/0138 Recorded 7/12/99
09/369964	8/6/1999	Granted	5/6/2003	6557226	5259-03100USP1	Apparatus for Manufacturing a Bone Dowel	Erik J. Wagner	010347/0747 Recorded- 10/29/99
09/532387	3/22/2000	Granted	5/20/2003	6565566	5259-03600USO1	Sacral Screw Assembly and Method	Erik J. Wagner	011073/0752 Recorded 9/13/00
10/015206	11/19/2001	Filed			5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
10/735976	12/15/2003	Filed			5259-03700USC2	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00

Application No. Filing Date	Filing Date	Status	Issue Date	Patent No.	Attomey Docket No:		Fire(Named Inventoral (1000)	Assignment/Regurtzmo/No. 23 Recordation Cate
09/479458	1/6/2000	Granted	12/18/2001	5331170	, 0000000000000000000000000000000000000	Syster Stabili	7:	
					100000000000000000000000000000000000000	Instrument and Method for	חשוב ביוניס	010/32/0443 Recolded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed	٠		5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed		o wase j su	5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed		. 1	5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed		-	5259-07800USO1	r Use as	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

ation No.	Application No. Filingibate Status		ssue Date a	Patentillo	Attorney Docket Nos	ildər eti ili eti eti	Elisi Nemed Inventorità	Assignmentikcolitemotto do e. Recordation bato
10/291223	11/8/2002	Filed			5259-08300USO2	Instruments and Methods for Javier Garcia- Inserting a Spinal Implant Bengchoa	Javier Garcia- Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
10/351283	1/23/2003	Filed			5259-09900USO1	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014397/0674 Recorded 8/14/03
10/351288	1/24/2003	Filed			5259-09900USO2	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
10/698049	10/30/2003	Filed			5259-10700USO1	Bone Fastner Assembly for a Spinal Stabilization System	Michael E. Landry	Assignment filed 4/2/04 Reel/Frame not yet received
10/698010	10/30/2003	Filed			5259-10700USO2	Spinal Stabilization Systems and Methods Using Minimally Invasive Surgical Procedures	Michael E. Landry	Assignment filed 3/30/04 Reel/Frame not vet received
10/697793	10/30/2003	Filed			5259-10700USO3	Spinal Stabilization Systems and Methods	Michael E. Landry	Assignment filed 3/29/04 Reel/Frame not yet received
10/698046	10/30/2003	Filed		14484	5259-10800USO1	Spinal Stabilization System Using Flexible Members	Michael E. Landry	Assignment filed 3/31/04 Reel/Frame not yet received
10/698966	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	James F. Marino	Assignment Filed 3/12/2004; Reel/Frame not yet received
10/699618	10/31/2003	Filed			5259-11300USO1	Movable Disc Implant	David J. Krueger	Assignment filed 4/2/04 Reel/Frame not yet received
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Reginald J. Davis	014164/0207 Recorded 6/12/03

PATENT

Applicant: (See Attachment A)

Application Number: (See Attachment A)
Application Filing Date: (See Attachment A)

Patent Number: (See Attachment A)
Patent Issuance Date: (See Attachment A)
Invention Title: (See Attachment A)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY (37 C.F.R. § 1.27(g)(2))

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- 1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
- 2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19 2004

Signature

Assignee:

Person authorized to sign on behalf of assignee

Spinal Concepts, Inc. 5301 Riata Park Court Bldg. F Austin, TX 78727

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail.

Date: 6 19 04

Signature

Kimberly A. Iorio

LIST OF PATENTS, AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Falling Deta		Sems	Esmo Deffe	Perenting	Violent for the second		Filest Warmed	Assignment Reell, Frame No. 8.
10/036012	12/26/2001		M 1		5259-00600USC1	System and Method for Stabilizing the Human Spi with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guver	008932/0159 Recorded 1/30/98
08/265005	6/23/1994	Granted	4/16/1996	5507211	5259-01000USO1	Releasable Socket	Erik J. Wagner	
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hurlbert	0096667/0352 Recorded 12/23/98
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Stephen Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/549977	10/30/1995	Granted	5/19/1998	5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98

LIST OF PATENTS, AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

First Named Assignment Reel/Frame No. & Inventor Recordation Date 1.	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009490/0692 Recorded 10/15/98	James P. Errico 9490/0692 Recorded 10/5/98	9490/0692 Recorded 10/5/98	Erik J. Wagner 009840/0875 Recorded 3/2/99	010085/0138 Recorded 7/12/99	010347/0747 Recorded 10/29/99	Erik J. Wagner 011073/0752 Recorded 9/13/00
First Named 🚈 . Inventor	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	James P. Errico	Joseph P. Errico	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner
Title The Table	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Intervertebral Space Shape Conforming Cage Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Advanced Compression Locking Variable Length Cross-Link Device	Reduction instrument	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Sacral Screw Assembly and Method
Attorney Docket No.	5259-02300USD1	5259-02300USO1	5259-02300USP1	5259-02300USP2	5259-02500USO1	5259-02600USO1	5259-02600USP1	5259-03000USO1	5259-03100USO1	5259-03100USP1	5259-03600USO1
Patent No. 6	6017344	5785711	5810819	5997539	5653763	5667507	5709684	6123707	6442814	6557226	6565566
Isside Date.	1/25/2000	7/28/1998	9/22/1998	12/7/1999	8/5/1997	9/16/1997	1/20/1998	9/26/2000	9/3/2002	5/6/2003	5/20/2003
SETURES	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted
alling leade.	3/4/1999	5/15/1997	6/23/1997	4/30/1998	3/29/1996	12/4/1995	4/15/1996	1/13/1999	4/23/1999	8/6/1999	3/22/2000
Application No.	09/261977	08/856773	08/880810	09/070268	08/622891	08/567144	08/632561	09/229297	09/298269	09/369964	09/532387

LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

Application No. Elling loats		මාටු.නු <u>දු</u>	Serie eate	Petrenti No	Attorney DocketsNo.	Assignment Reel/Fi	First Named Inventor 5 K 2	Assignment Reel/Framel No. & Recordation Date	
10/015206					5259-03700USC1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00	
09/479458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00	
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00	
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00	
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02	
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03	
10/387363	3/11/2003	Filed			5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	шi a	014403/0794 Recorded 8/13/03	
10/387361	3/11/2003	Filed			5259-04500USO3	a O	Michael E. Landry	014383/0746 Recorded 8/11/03	
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1		Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02	
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01	
09/684218	10/6/2000	Filed			5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01	
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01	
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03	

LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

ApplietionNo	tioniNo FilingiDate	Status	issue)Date	Patent No:	o.: Atomey.Docket.No.: Title		FirstiNamed *.	FirstiNamed - Assignment Reel/Frame No. & Inventor - Recordation Date
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03
10/291223	11/8/2002	Filed			5259-08300USO2	Instruments and Methods for Javier Garcia-Inserting a Spinal Implant Bengchoa	Javier Garcia- Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	Jim Freid	014181/0907 Recorded 6/16/03
10/351283	1/23/2003	Filed			5259-09900USO1	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014397/0674 Recorded 8/14/03
10/351288	1/24/2003	Filed			5259-09900USO2	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
10/035052	12/28/2001	Granted	5/18/2004	6736850	5259-12300USO1	Pseudo Arthrosis Device	Reginald J. Davis	:
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Reginald J. Davis	014164/0207 Recorded 6/12/03

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PTO/SB/81 (06-04) Approved for use through 11/30/2005. OMB 0651-0035
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Application Number	See Attachment A
Filing Date	See Attachment A
First Named Inventor	See Attachment A
Title	See Attachment A
Art Unit	
Examiner Name	·
Attorney Docket Number	See Attachment A

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Telephone	Fax
Applicant/Inventor.	
Assignee of record of the entire interest. See 37 CFR	
Statement under 37 CFR 3.73(b) is enclosed. (Form In SIGNATURE of Applicant or Assignee of Record (if assignee)	gnee, put name, title and company name in the "Name" space below)
Name Jeffrey R. Binder	
Signature VIII	
Date 7 23 . 4	Telephone (512) 918-2700
NOTE: Signatures of all the inventors or assignees of record of the entire forms if more than one signature is required, see below.	e interest or their representative(s) are required. Submit multiple
*Total of forms are submitted.	

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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First Nemed	20	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Stephen Houchschuler	Stephen Houchschuler	Stephen Houchschuler	Erik J. Wa	Erik J. Wa	Erik J. Wa	Erik J. Wa	Erik J. Wagner	Erik J. Wagner	Frik ! Wagner
THE STATE OF THE S	Spinal Fixation System	Spinal Fixation System	Method and Apparatus for Spinal Fixation	Spinal Fixation System	Method and Apparatus for Spinal Fixation	Method and Apparatus for Spinal Fixation	Adjustable Height Fusion Device	Adjustable Height Fusion Device	Adjustable Height Fusion Device	Multi-Adjustable-Height Fusion Cage	Multi-Adjustable-Height Fusion Device	Surgical Cable System and Method	Surgical Cable System and Method	Surgical Cable System and Method	Surgical Cable System and Method	Surgical Cable System and Method
Attorney poeker No.		5259-00100USD1	5259-00100USD2	5259-00100USO1	5259-00100USP1	5259-00100USP2	5259-00200USC1	5259-00200USD1	5259-00200USO1	5259-00200USP1	5259-00200USP2	5259-00500USD1	5259-00500USD2	5259-00500USO1	5259-00500USP1	2259-00500USP2
PatentiNo	6562040	6132430		6416515	6595992	5989250	6576016	6080193	6045579	6641614	6706070	5935133	6391030	5964769	6053921	6682533
Issue Date	5/13/2003	10/17/2000		7/9/2002	7/22/2003	11/23/1999	6/10/2003	6/27/2000	4/4/2000	11/4/2003	3/16/2004	8/10/1999	5/21/2002	10/12/1999	4/25/2000	1/27/2004
Status	Granted	Granted	Filed	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted
Filing Date: Sta	10/17/2000	6/9/1998	11/4/1999	10/24/1996	10/1/1997	2/20/1998	6/27/2000	9/15/1998	5/1/1997	3/24/1998	4/30/1998	12/15/1998	12/15/1998	8/26/1997	5/26/1998	7/23/1999
Application No. Filing loate Status Issue loate Retentino	09/691279	09/093756	09/434324	08/740123	08/942325	09/026711	09/605200	09/153178	08/847172	09/046759	09/070116	09/211887	09/211888	08/919127	09/085186	09/360062

Appleetion No. Hing Date:	Fillholoate	Status		Night	OF WHENCE BESTERS (No.		Historiance Contraction	AssignmentikesUrremotto G
10/036012	19/26/2001	בוֹסק				System and Method for Stabilizing the Human Spine	Š	(Necol addol) Path.
7,000	1007077				175000000-8676	with a Bone Plate	Erik J. Wagner	009450/0947 Recorded 9/11/98
09/089027	6/2/1998	Granted	9/24/2002	6454769	5259-00600USP1	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Wagner	Erik J. Wagner 009450/0947 Recorded 9/11/98
09/095290	6/10/1998	Granted	2/29/2000	6030389	5259-00600USP2	System and Method for Stabilizing the Human Spine with a Bone Plate	Erik J. Waqner	009450/0959 Recorded 9/11/98
08/896281	7/16/1997	Granted	7/27/1999	5928243	5259-00700USO1	Pedicle Probe and Depth Gage	Richard D. Guyer	008932/0159 Recorded 1/30/98
09/159303	9/23/1998	Granted	11/14/2000	6146382	5259-01200USO1	Occipito-Cervical Stabilization System and Method	John R. Hiirlbert	0096667/0352 Recorded
							Stephen	
09/070323	4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Houchschuler	009650/0211 Recorded 11/9/98
09/059162	4/13/1998	Granted	5/16/2000	6808909	5259-02100USC1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
08/772407	12/23/1996	Granted	7/7/1998	5776135	5259-02100USO1	Side Mounted Polyaxial Pedicle Screw	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/059108	4/13/1998	Granted	10/31/2000	6139548	5259-02200USC1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Frrico	009275/0021 Recorded 6/30/08
08/549977	10/30/1995	Granted	5/19/1998	÷ † 5752955	5259-02200USO1	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/070234	4/30/1998	Granted	10/5/1999	5961518	5259-02300USC1	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
09/261977	3/4/1999	Granted	1/25/2000	6017344	5259-02300USD1		Joseph P. Errico	009275/0021 Recorded 6/30/98

Assignmenti Resulfemo No. ය Reconfilm Osta	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	009490/0692 Recorded 10/15/98	James P. Errico 9490/0692 Recorded 10/5/98	9490/0692 Recorded 10/5/98	009840/0875 Recorded 3/2/99	010085/0138 Recorded 7/12/99	010347/0747 Recorded 10/29/99	011073/0752 Recorded 9/13/00	010732/0443 Recorded 4/20/00	010732/0443 Recorded 4/20/00
Filestive med		Joseph P. Errico	Joseph P. Errico	Joseph P. C	James P. Errico (6	Joseph P. Errico	Erik J. Wagner	 	Erik J. Wagner	T		Jim Freid
	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanisn	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Intervertebral Space Shape Conforming Cage Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Advanced Compression Locking Variable Length Cross-Link Device	Reduction Instrument	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Sacral Screw Assembly and Method	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate
Attorney Docket No.	5259-02300USO1	5259-02300USP1	5259-02300USP2	5259-02500USO1	5259-02600USO1	5259-02600USP1	5259-03000USO1	5259-03100USO1	5259-03100USP1	5259-03600USO1	5259-03700USC1	5259-03700USC2
Patent No. 4.	5785711	5810819	5997539	5653763	5667507	5709684	6123707	6442814	6557226	6565566		
IssuelDate.	7/28/1998	9/22/1998	12/7/1999	8/5/1997	9/16/1997	1/20/1998	9/26/2000	9/3/2002	5/6/2003	5/20/2003		
Status	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Filed	Filed
FIIIng Date:	5/15/1997	6/23/1997	4/30/1998	3/29/1996	12/4/1995	4/15/1996	1/13/1999	4/23/1999	8/6/1999	3/22/2000	11/19/2001	12/15/2003
Application No. Filing Date. Status	08/856773	08/880810	09/070268	08/622891	08/567144	08/632561	09/229297	09/298269	09/369964	09/532387	10/015206	10/735976

Applie fon No.	Illng Date	status:	Issue Date: Patentin	0	Attorney/Docket/No:	inds.	Filrst)Named Inventor	Assignmenti Resultrame No. ය. Recorration Para
07470	000					od for man Spine		
09/4/9458	1/6/2000	Granted	12/18/2001	6331179	5259-03700USO1	with a Bone Plate	Jim Freid	010732/0443 Recorded 4/20/00
09/961758	9/24/2001	Granted	9/9/2003	6616671	5259-04000USC1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/478923	1/6/2000	Granted	9/10/2002	6447512	5259-04000USO1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	010765/0303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003	6524312	5259-04000USP1	Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02
10/387329	3/11/2003	Filed			5259-04500USO1	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014380/0261 Recorded 8/11/03
10/387363	3/11/2003	Filed			5259-04500USO2	Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry	014403/0794 Recorded 8/13/03
10/387361	3/11/2003	Filed			5259-04500USO3	Sinal Implant Including a Compressible Connector	Michael E. Landry	014383/0746 Recorded 8/11/03
09/952209	9/12/2001	Granted	6/8/2004	6746449	5259-04600USO1	Spinal Rod Translation Instrument	Robert J. Jones	Robert J. Jones 012557/0740 Recorded 1/30/02
09/684628	10/6/2000	Filed			5259-04700USO1	Transverse Connector with Cam Activated Engagers	Grady G. McBride	011515/0894 Recorded 2/12/01
09/684218	10/6/2000	Filed		e in the	5259-04800USO1	Adjustable Transverse Connector	Grady G. McBride	011518/0637 Recorded 2/12/01
09/680756	10/6/2000	Filed			5259-04900USO1	Adjustable Transverse Connector with Cam Activated Engagers	Grady G. McBride	011593/0900 Recorded 3/15/01
10/337219	1/6/2003	Filed			5259-07800USO1	Surgical Implants for Use as Spinal Spacers	David J. Krueger	014157/0620 Recorded 6/6/03
10/200024	7/19/2002	Filed			5259-07900USO1	Spinal Stabilization System and Method	Margaret E. Mitchell	013449/0035 Recorded 10/29/02
10/291245	11/8/2002	Filed			5259-08300USO1	Spinal Implant	Javier Garcia- Bengchoa	013796/0202 Recorded 2/24/03

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Applicationino	: Filing Date	Status	Issue Date	Patent	o. * Attorney Docket No. Title *		* Inventor	Recordation Date 11 20 11 1
						Instruments and Methods for Javier (Sarcia-	Javier Garcia-	
10/291223	11/8/2002	Filed			5259-08300USO2	Inserting a Spinal Implant	Bengchoa	013786/0320 Recorded 2/21/03
10/356391	1/31/2003	Filed			5259-09200USO1	Spinal Plate Extender System and Method	lim Freid	014181/0907 Becorded 6/16/03
						Spinal Plate System for		COIOL O PARIONAL LOCOLIDADA
						Stabilizing a Portion of a		
10/351283	1/23/2003	Filed			5259-09900USO1	Spine	Jim Freid	014397/0674 Recorded 8/14/03
						Spinal Plate System for		
10/351288	1/24/2003	7011				Stabilizing a Portion of a	;	
10001200	1/24/2003	0211			5259-09900USO2	Spine	Jim Freid	014302/0118 Recorded 7/15/03
29/155057	2/1/2002	Filed			5259-10000USO1	Extension Plate	Jim Freid	012823/0549 Recorded 4/22/02
t						Bone Fastner Assembly for		
						a Spinal Stabilization	Michael E.	Assignment filed 4/2/04
10/698049	10/30/2003	Filed			5259-10700USO1	System	Landry	Reel/Frame not yet received
				•		Spinal Stabilization Systems		
				•		and Methods Using		
0,000		į				Minimally Invasive Surgical	Michael E.	Assignment filed 3/30/04
าบ/อลลบาบ	10/30/2003	Filed			5259-10700USO2	Procedures	Landry	Reel/Frame not yet received
40/607700	0000	i				Spinal Stabilization Systems	Michael E.	Assignment filed 3/29/04
10/09/ /93	10/30/2003	Filed			5259-10700USO3	and Methods	Landry	Reel/Frame not yet received
						Spinal Stabilization System	Michael E.	Assignment filed 3/31/04
10/698046	10/30/2003	Filed			5259-10800USO1	Using Flexible Members	Landiy	Reel/Frame not yet received
40,0000		i		_			James F.	Assignment Filed 3/12/2004;
10/098900	10/31/2003	Filed			5259-11100USO1	Spinal Disc Implant	Marino	Reel/Frame not yet received
10/600640	40,00	i		: g=:			David J.	Assignment filed 4/2/04
10/0880/01	10/31/2003	Filed			5259-11300USO1	Movable Disc Implant	Krueger	Reel/Frame not yet received
40000		i					Reginald J.	
10/331191	12/27/2002	Filed			5259-12300USP1	Pseudo Arthrosis Device	Davis	014164/0207 Recorded 6/12/03